

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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<b>In re</b>	: <b>Chapter 11 Case No.</b>
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	: <b>08-13555 (SCC)</b>
	: <b>(Jointly Administered)</b>
<b>Debtors.</b>	:
	:
-----X	
	<b>Ref. Docket No. 46457</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 23, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
28<sup>th</sup> day of October, 2014

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE  
TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH  
ATTN: SARAH CHAN, DIRECTOR  
LEVEL 13 & 14  
1 QUEEN'S ROAD CENTRAL  
HONG KONG HONG KONG

Additional:

Transferee: TREEKIDS INVESTMENT LIMITED  
SUITE 1807 GREAT EAGLE CENTRE  
23 HARBOUR ROAD  
HONG KONG HONG KONG

Your transfer of claim # 56670-04 is defective for the reason(s) checked below:

Expunged By Court Order

Docket Number 46457

Date 10/07/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 23, 2014.

## **EXHIBIT B**

TIME: 10:07:38  
DATE: 10/23/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name Address

HONGKONG AND SHANGHAI BANKING  
CORPORATION LIMITED, THE  
TREETKIDS INVESTMENT LIMITED

TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH ATTN: SARAH CHAN, DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL  
HONG KONG HONG KONG  
SUITE 1807 GREAT EAGLE CENTRE 23 HARBOUR ROAD HONG KONG HONG KONG

Total Number of Records Printed

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